



INTELLIGENTMONEY

FACILITATION OF ADVISER CHARGING
(for Off-Platform Investments only)

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Adviser Firm	<input type="text"/>
Adviser Name	<input type="text"/>
FRN	<input type="text"/>
Client Name & Date of Birth	<input type="text"/>
Your reference	<input type="text"/>

Is this a new or existing member? New Existing

Initial Adviser Charge

Adviser Charge £ or %

Please note, we do not facilitate ongoing adviser charging

Adviser Account Details

Account Name	<input type="text"/>
Account Number	<input type="text"/>
Sort Code	<input type="text"/>
Payment reference	<input type="text"/>

Source of Funds

Please provide details of where the funds for this fee should be taken from:

IM Cash Account	<input type="checkbox"/>	Please note there must be sufficient funds held in cash to select this option
Incoming Transfer	<input type="checkbox"/>	Ceding Provider and Reference <input type="text"/>
Incoming Contribution	<input type="checkbox"/>	Amount and Date of Payment <input type="text"/>
Platform Account	<input type="checkbox"/>	Platform Provider and Reference <input type="text"/>
Other Investment	<input type="checkbox"/>	Investment Name and Reference <input type="text"/> <i>(where applicable)</i>

Client Declaration

- (a) I/We have appointed the financial adviser shown on this form.
- (b) The financial adviser has explained their charges to my/our full satisfaction and I/we agree to the release of this information and payment of the Adviser Charges as detailed in this form.
- (c) I/We understand that Intelligent Money is simply facilitating the payment of the Adviser Charges to my/our financial adviser and any queries or complaints in respect of these charges should be directed to the financial adviser and not Intelligent Money.
- (d) I /We agree that all Adviser Charges become immediately due and payable on and from the date next to my signature.
- (e) I /We also accept that if I/we exercise cancellation rights in relation to the Intelligent Money funds and/or Products, cancellation proceeds will not include any Adviser Charge monies.
If you believe it is appropriate to seek a refund of the Adviser Charge, you should contact the financial adviser to discuss the possibility of a refund.
- (f) I/We understand it is the financial adviser's responsibility to account for VAT and any other taxes and duties within all Adviser Charges.
- (g) If I/we subsequently send new or amended instructions authorising payment of other Adviser Charges in respect of any fund covered by this declaration, it will take effect upon receipt by Intelligent Money.
- (h) I /We understand that this agreement will continue in full force and effect unless and until cancelled in writing by either the adviser or myself.

Client Signature

Date

Print Full Name

Adviser Declaration

- (a) I am duly authorised to bind the adviser firm to the terms of this Adviser Charging arrangement between the client and the firm and to make the declarations in this form on the firm's behalf. I acknowledge I will also be personally liable to Intelligent Money in the event that the firm claims that I am not so duly authorised and/or to the extent that the firm does not fulfil its obligations to Intelligent Money under the terms of this form.
- (b) I declare that all completed details on this form in relation to the firm are true and complete and agree that the firm will notify Intelligent Money immediately in writing should any of the details change.
- (c) I declare that the firm has fulfilled its regulatory responsibilities to the client / investor(s) and the firm agrees to be also bound by the terms agreed with the client / investor(s).
- (d) I declare that the firm has explained to and advised the client / investor(s) concerning all implications regarding the use of Intelligent Money's Adviser Charging Facility, including:
 - i) Capital Gains Tax considerations
 - ii) The effects of capital erosion on redemptions for the Adviser Charge
 - iii) The fact that units / shares will be redeemed to meet the Adviser Charge
 - iv) How to cancel this agreement if the client so wishes
 - v) The service that the client can expect from my firm for the Adviser Charge payment
- (e) I declare that the firm understands that the Adviser Charge monies will become due and payable immediately from the date of consent by the client to the Adviser Charge and these Adviser Charge monies will not be treated as client money. Adviser Charge monies will be accrued in a non-client money account awaiting payment to the adviser firm.
- (f) I declare that, in the event of Intelligent Money's insolvency, the firm agrees to waive its right to make any claim against the investor in respect of any unpaid Adviser Charge monies and agrees to become a creditor of Intelligent Money in respect of such monies.
- (g) I declare that, in the event of the client payment(s) not being honoured or received by Intelligent Money, I and the firm agree to return any Adviser Charge monies to Intelligent Money immediately, but no later than 15 business days, following notification.
- (h) I declare that in the event of the client exercising cancellation rights, any Adviser Charges will still be paid and the firm agrees to take full responsibility to manage any communications with the client / investor in relation to the Adviser Charge.
- (i) I declare that in the event that the firm wishes to process a refund in respect of Adviser Charges paid or due to be paid, the firm will take responsibility for such process and action.
- (j) I declare that in the event of an error on the part of Intelligent Money, the firm agrees to liaise with Intelligent Money and promptly respond to issues raised in order to resolve the issue satisfactorily.
- (k) I declare that the firm acknowledges and accepts that it is the firm's responsibility to solely account for VAT and any other taxes and duties as appropriate within all Adviser Charge values instructed and Intelligent Money takes no such responsibility.

Adviser Full Name

Adviser Signature

Date